@20431.0771

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

. Ranjit N. Notani, et al.

Serial No.:

09/841,320

Date Filed:

April 23, 2001

kt Unit:

2161

Extreme Capacity Management in an Electronic Man

Environment

onorable Assistant Commissioner

for Patents

Washington, DC 20231

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Washington, D.C. 20231.

Dear Sir:

## INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that these references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present application, or that these references qualify as prior art.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97(b).

Although Applicant believes no fee is due, the Commissioner is hereby authorized to charge any fee to Deposit Account No. 02-0384 of Baker Botts L.L.P.

> Respectfully submitted, BAKER BOTTS L.L.P.

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GROUP 3 2003

09/841,320

PTO-1449

Information Disclosure Citation

PE voin an Application

Application No.

O9/841,320

Docket Number

O20431.0771

April 23, 2001

## U.S. PATENT DOCUMENTS

1/4							
MAR		CUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
<b>CUE</b>	JAL	4,996,642	02/26/1991	Hey	364	419	09/25/1989
	В	5,819,245	10/06/1998	Peterson et al.	706	16	09/05/1995
	С	5,909,023	06/01/1999	Ono et al.	236	380	02/21/1997
	D	5,918,223	06/29/1999	Blum et al.	701	1	07/21/1997
	E	5,963,948	10/05/1999	Shilcrat	707	100	11/15/1996
	F	5,983,220	11/09/1999	Schmitt	707	5	11/14/1996
	G	6,018,738	01/25/2000	Breese et al.	707	100	01/22/1998
	Н	US 6,266,649 B1	07/21/2001	Linden et al.	705	26	09/18/1998
							4

### **FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSL YES	NO NO
1	WO 96/12238	04/25/1996	PCT	G06F	17/30	X	
J	WO 97/02537	01/23/1997	PCT	G06F	17/60	X	

#### **NON-PATENT DOCUMENTS**

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
к	PCT, Notification of Transmittal of the International Search Report or the Declaration, 7 pages	6 Feb. 2002
L	N. Tenorio, "System and Method for Retrieving Information According to Improved Matching Criteria," S/N 09/742,851, pending.	December 20, 2000
М	N. Tenorio, "System and Method for Negotiating According to Improved Matching Criteria," Appln. Serial No. 09/750,617, pending.	December 20, 2000
N	S. Iyer, et al., "Product Substitution Search Method," Appln. Serial No. 09/594,652, pending.	June 15, 2000
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EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.